

A conciliatory short proof of strong normalization for permutative conversions in natural deduction

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 - 2 To refine our understanding of the Curry-Howard correspondence by integrating insights from the practice of normalizing proofs in natural deduction.
- Both goals reflect two contrasting perspectives on mathematical practice, described as the romantic attitude versus the cool attitude (Barendregt & Wiedijk, 2005).

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- All in all, we aim to provide a proof that reconciles the "algebraic reasoning" embodied by the lambda calculus in normalization results intended to be verified by a computer with the "diagrammatic reasoning" of natural deduction, where the analysis of normal proofs is conducted by manipulating proof-trees.
- We achieve this by giving a "diagrammatic" interpretation to the "algebraic" reasoning provided by Joachimski & Matthes (2003).

Curry-Howard at work: $ND-\lambda^{\supset, \vee}$

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$\frac{\Gamma, [x:A] \quad \vdots \quad r:B}{\lambda x.r:A \supset B} \supset I, x$	$\frac{\Gamma \quad \Delta \quad \vdots \quad r:A \supset B \quad s:A}{rs:B} \supset E$	$\frac{\Gamma \quad \Delta, [x:A] \quad \Theta, [y:B] \quad \vdots \quad r:A \vee B \quad s:C \quad t:C}{case(r, x.s, y.t):C} \vee E, x, y$
$x:A \quad \frac{\Gamma \quad \vdots \quad r:A}{inl_r:A \vee B} \vee I_1$	$\frac{\Gamma \quad \vdots \quad s:B}{inr_s:A \vee B} \vee I_2$	

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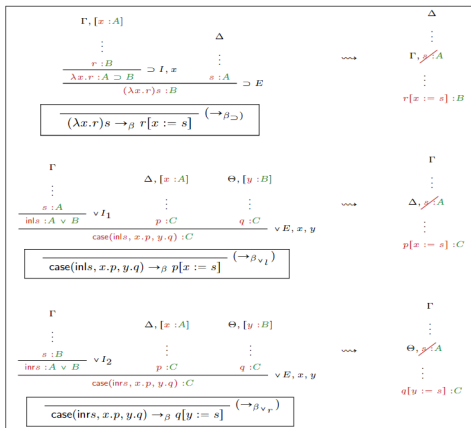
$$\begin{array}{c}
 \Gamma, [x:A] \\
 \vdots \\
 \frac{r:B}{\lambda x.r:A \supset B} \supset I, x \\
 x:A
 \end{array}
 \qquad
 \begin{array}{c}
 \Gamma \quad \Delta \\
 \vdots \quad \vdots \\
 \frac{r:A \supset B \quad s:A}{rs:B} \supset E
 \end{array}
 \qquad
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$$\begin{array}{c}
 \Gamma \\
 \vdots \\
 \frac{r:A}{inl:A \vee B} \vee I_1
 \end{array}
 \qquad
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 \Gamma \\
 \vdots \\
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$r, s, t ::= x \mid \lambda x.r \mid inl \mid inr \mid rs \mid case(r, x.s, y.t)$

Normalization in ND

- Normalization in ND involves simplifying proofs so that they avoid making détours.



Normalization in *ND*

- Unlike **modus ponens**, the conclusion of **case analysis** introduces an arbitrary formula that is neither part of the major premise nor part of the hypotheses. Consequently, the sub-formula property for derivations is no longer guaranteed.

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$$\begin{array}{c}
 \begin{array}{c} \vdots \\ A \vee B \end{array} \quad \begin{array}{c} [A] \\ \vdots \\ C \end{array} \quad \begin{array}{c} [B] \\ \vdots \\ C \end{array} \\
 \hline
 C \quad \vdots \\
 \hline
 D \quad r
 \end{array} \quad \vee \mathcal{E} \quad \text{converts to} \quad \begin{array}{c} \begin{array}{c} \vdots \\ A \vee B \end{array} \quad \begin{array}{c} [A] \\ \vdots \\ C \end{array} \quad \vdots \\
 \hline
 D \quad r \quad \begin{array}{c} [B] \\ \vdots \\ C \end{array} \quad \vdots \\
 \hline
 D \quad r \\
 \hline
 D \quad \vee \mathcal{E}
 \end{array}$$

Normalization in *ND*: The Permutations

- Adding disjunction to *Nm* creates a new kind of cut, known as the permutation.

$$\frac{
 \begin{array}{c}
 \Gamma \\
 \vdots \\
 r : A \vee B
 \end{array}
 \quad
 \frac{
 \begin{array}{c}
 \Delta, [x : A], [z : C] \\
 \vdots \\
 s : D \\
 \lambda z.s : C \supset D \supset I, z
 \end{array}
 \quad
 \frac{
 \begin{array}{c}
 \Theta, [y : B] \\
 \vdots \\
 t : C \supset D \\
 \vee E, x, y
 \end{array}
 \quad
 \begin{array}{c}
 \Sigma \\
 \vdots \\
 p : C
 \end{array}
 }{
 \text{case}(r, x.\lambda z.s, y.t) : C \supset D
 }
 }{
 \text{(case}(r, x.\lambda z.s, y.t))p : D
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 }
 \quad
 \frac{
 \vdots
 }{
 p : C
 }
 }{
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- Which we would like to transform into:

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 }{
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 }{
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 }
 \quad
 \Sigma
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 \Sigma
 }{
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 \quad
 \frac{
 \vdots
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 }{
 \vdots
 }
 p : C
 }{
 \lambda w.s : C \supset D \supset I, z
 }
 \quad
 \frac{
 \Theta, [y : B]
 }{
 \vdots
 }
 t : C \supset D
 }{
 (\lambda z.s)p : D
 }
 \supset E
 }{
 \text{case}(r, x.(\lambda z.s)p, y.tp) : D
 }
 \quad
 \frac{
 \Sigma
 }{
 \vdots
 }
 p : C
 }{
 tp : D
 }
 \supset E, x, y
 }{
 }$$

Normalization in $ND:\pi$ – reductions & β – reductions

$$\begin{array}{c}
 \begin{array}{c}
 \Gamma \quad \Delta, [x:A] \quad \Theta, [y:B] \\
 \vdots \quad \vdots \quad \vdots \\
 r : A \vee B \quad p : C \supset D \quad q : C \supset D \\
 \hline
 \text{case}(r, x.p, y.q) : C \supset D
 \end{array}
 \quad \vee E, x, y \quad \Sigma \\
 \vdots \\
 \hline
 \text{case}(r, x.p, y.q)s : D
 \end{array}
 \supset E$$

↔

$$\begin{array}{c}
 \begin{array}{c}
 \Gamma \quad \Delta, [x:A] \quad \Sigma \quad \Theta, [y:B] \quad \Sigma \\
 \vdots \quad \vdots \quad \vdots \quad \vdots \quad \vdots \\
 r : A \vee B \quad p : C \supset D \quad s : C \supset D \quad q : C \supset D \quad s : C \\
 \hline
 ps : D \quad qs : D \\
 \hline
 \text{case}(r, x.ps, y.qs) : C \supset D
 \end{array}
 \quad \vee E, x, y
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 \hline
 \text{case}(r, x.p, y.q) : C \supset D \\
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 \text{case}(r, x.p, y.q)s : D \\
 \hline
 \vdots \\
 \vdots \\
 \vdots \\
 \hline
 \Sigma \\
 s : C \\
 \hline
 \supset E \\
 \hline
 \supset E
 \end{array} \\
 \rightsquigarrow \\
 \begin{array}{c}
 \Gamma \quad \Delta, [x:A] \quad \Sigma \quad \Theta, [y:B] \quad \Sigma \\
 \vdots \quad \vdots \quad \vdots \quad \vdots \quad \vdots \\
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 \text{case}(r, x.ps, y.qs) : C \supset D \\
 \hline
 \supset E, x, y \\
 \hline
 \supset E
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 \end{array}$$

$$\overline{\text{case}(r, x.p, y.q)s \rightarrow_{\Pi} \text{case}(r, x.ps, y.qs)} \quad (\rightarrow_{\Pi})$$

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$$\begin{array}{c}
 \begin{array}{c}
 \Gamma \quad \Delta, [x:A] \quad \Theta, [y:B] \\
 \vdots \quad \vdots \quad \vdots \\
 r:A \vee B \quad p:C \supset D \quad q:C \supset D \\
 \hline
 \text{case}(r, x.p, y.q) : C \supset D \\
 \hline
 \text{case}(r, x.p, y.q)s : D
 \end{array}
 \quad \vee E, x, y \quad \Sigma \\
 \vdots \quad s:C \\
 \hline
 \supset E
 \end{array}
 \quad \rightsquigarrow \quad
 \begin{array}{c}
 \Gamma \quad \Delta, [x:A] \quad \Sigma \quad \Theta, [y:B] \quad \Sigma \\
 \vdots \quad \vdots \quad \vdots \quad \vdots \quad \vdots \\
 r:A \vee B \quad p:C \supset D \quad s:C \quad q:C \supset D \quad s:C \\
 \hline
 ps : D \quad qs : D \\
 \hline
 \text{case}(r, x.ps, y.qs) : C \supset D \\
 \hline
 \vee E, x, y \quad \supset E
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 \hline
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 \hline
 \text{case}(\text{case}(r, x.p, y.q), w.s, z.t) : E
 \end{array}
 \quad \vee E, x, y \quad \Sigma, [w:C] \quad \Phi, [z:D] \\
 \vdots \quad s:E \quad t:E \\
 \hline
 \vee E, w, z
 \end{array}
 \quad \rightsquigarrow \quad
 \begin{array}{c}
 \Gamma \quad \Delta, [x:A] \quad \Sigma, [w:C] \quad \Phi, [z:D] \quad \Theta, [y:B] \quad \Sigma, [w:C] \quad \Phi, [z:D] \\
 \vdots \quad \vdots \quad \vdots \quad \vdots \quad \vdots \quad \vdots \quad \vdots \\
 r:A \vee B \quad p:C \vee D \quad s:E \quad t:E \quad q:C \vee D \quad s:E \quad t:E \\
 \hline
 \text{case}(p, w.s, z.t) : E \quad \vee E, w, z \quad \text{case}(q, w.s, z.t) : E \\
 \hline
 \text{case}(r, x.\text{case}(p, w.s, z.t), y.\text{case}(q, w.s, z.t)) : E \\
 \hline
 \vee E, x, y
 \end{array}
 \end{array}$$

Normalization in $ND:\pi$ – reductions & β – reductions

$$\begin{array}{c}
 \frac{\frac{\frac{\Gamma \quad \Delta, [x:A] \quad \Theta, [y:B]}{\vdots \quad \vdots \quad \vdots} \quad \frac{\frac{\frac{r:A \vee B \quad p:C \supset D \quad q:C \supset D}{\text{case}(r, x.p, y.q):C \supset D} \vee E, x, y} \quad \frac{\Sigma}{s:C}}{\text{case}(r, x.p, y.q)s:D} \supset E}{\rightsquigarrow} \\
 \frac{\frac{\Gamma \quad \Delta, [x:A] \quad \Sigma \quad \Theta, [y:B] \quad \Sigma}{\vdots \quad \vdots \quad \vdots} \quad \frac{\frac{\frac{r:A \vee B \quad p:C \supset D \quad s:C}{ps:D} \supset E \quad \frac{\frac{q:C \supset D \quad s:C}{qs:D} \supset E}{\vee E, x, y}}{\text{case}(r, x.ps, y.qs):C \supset D} \supset E}{\vee E, x, y}
 \end{array}$$

$$\frac{}{\text{case}(r, x.p, y.q)s \rightarrow_{\Pi} \text{case}(r, x.ps, y.qs)} \quad (\rightarrow_{\Pi})$$

$$\begin{array}{c}
 \frac{\frac{\frac{\Gamma \quad \Delta, [x:A] \quad \Theta, [y:B]}{\vdots \quad \vdots \quad \vdots} \quad \frac{\frac{\frac{r:A \vee B \quad p:C \vee D \quad q:C \vee D}{\text{case}(r, x.p, y.q):C \vee D} \vee E, x, y} \quad \frac{\frac{\Sigma, [w:C] \quad \Phi, [z:D]}{s:E} \quad t:E}}{\text{case}(\text{case}(r, x.p, y.q), w.s, z.t):E} \vee E, w, z}{\rightsquigarrow} \\
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 \end{array}$$

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Normalization in $ND:\pi$ – reductions & β – reductions

- To have a single diagram for permutations as in J.Y.Girard, and encode in type theory the behavior of rules of ND for more complex situations as happens when normalizing proofs, we introduce informally the notion of elimination in which we distinguish two cases:

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$e ::= \star s \text{ or } \text{case}(\star, x.r, y.t)$

$e\langle r \rangle = "e[\star := r]"$

Normalization in $ND:\pi$ – reductions & β – reductions

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 \vdots \qquad \qquad \qquad \vdots \qquad \qquad \qquad \vdots \\
 \frac{r : A \vee B \qquad s : D \qquad t : D}{\text{case}(r, x.s, y.t) : D} \vee E, x, y \quad \dots \\
 \hline
 e\langle \text{case}(r, x.s, y.t) \rangle : C \quad \dots \quad E\text{-rule}
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 \end{array}$$

- Despite the apparent similarity between the two types of diagrams, our approach is distinct. Rather than relying on metanotation to interpret the labels that decorate proof-trees, we have internalized the meaning of these labels by assigning formal rules from the typed lambda calculus. These rules, in turn, guide the construction of the proof-trees.

Normalization in $ND:\pi$ – reductions & β – reductions

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- Where we have a first, top-left most π – redex in an E – chain.

Détour & Permutation Proof-Search: The typology of proofs

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- The typology of proofs will allow us to recursively organize détour and permutation proof-search by analyzing how the major premise of an elimination rule was constructed.

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 - ② Convertibilities arise from a sanctioned application of an E – rule. Détours, for example, result from a pathological use of the rules modus ponens or case analysis, where the major premise of an E – rule is constructed using an I – rule. Permutations occur when the major premise of an E – rule is constructed using case analysis.

Détour & Permutation Proof-Search: The typology of proofs

- For instance, having pq or $\text{case}(r, x.p, y.q)$ does not allow us to distinguish between legitimate and illegitimate instances of modus ponens or case analysis. To address this issue, we rely on our typology for the analysis of E – proofs to specify occurrences of the rule applied according to the form of the term p in pq or r in $\text{case}(r, x.s, y.t)$:

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- The intuition behind this maneuver is that by analyzing all possible applications and reductions within the λ – calculus, we have all the necessary elements to fully describe the set of well-formed and well-typed expressions of $\lambda^{\supset, \vee}$ that strongly normalize.

Détour & Permutation Proof-Search: GPR

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E-Chains Grammar

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GPR Grammar

$$r, s, t ::= x \mid \lambda x.r \mid \text{inlr} \mid \text{inrs} \mid E\langle (\lambda x.r)s \mid M\langle x \rangle s \mid \text{case}(M\langle x \rangle, y.s, z.t) \mid E\langle \text{case}(\text{inlr}, x.p, y.q) \rangle \mid E\langle \text{case}(\text{inrs}, x.p, y.q) \rangle \mid E\langle e\langle \text{case}(M\langle x \rangle, y.r, z.t) \rangle \rangle$$

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- GPR stands for Global Proof Representation because the terms obtained through this grammar are exhaustive with respect to the usual classification and help us achieve a finer granularity in normalization results by introducing E-chains.

Détour & Permutation: GPR sufficient conditions

Global Proof Representation in ND	
Proof-tree Configuration	Sufficient Condition for Normalization
$\frac{\Gamma}{\text{inl}r : A \vee B} \vee I_1$	Γ $r : A$
$\frac{\Delta, [x : C_1 \supset \dots \supset C_n \supset (A \vee B)] \quad \Gamma, [y : A] \quad \Theta, [z : B]}{\text{case}(M(x), y.s, z.t) : D} \vee E, x, y$	$\Delta, x : C_1 \supset \dots \supset C_n \supset (A \vee B)$ $M(x) : A \vee B$ and $\Gamma, y : A$ $s : D$ and $\Theta, z : B$ $t : D$

Détour & Permutation Proof-Search: GPR sufficient conditions

$$\begin{array}{c}
 \Delta \\
 \vdots \\
 \frac{s : A}{\text{Inr } s : A \vee B} \vee I_2 \quad \Gamma, [x : A] \quad \Theta, [y : B] \\
 \vdots \quad \vdots \\
 p : D \quad q : D \\
 \hline
 \text{case}(\text{Inr } s, x.p, y.q) : D \\
 \vdots \\
 E(\text{case}(\text{Inr } s, x.p, y.q)) : C
 \end{array}$$

$$\begin{array}{c}
 \Delta \\
 \vdots \\
 \Theta, \cancel{s : B} \\
 \vdots \\
 q[y := s] : D \\
 \vdots \\
 E(q[y := s]) : C \\
 \text{and} \\
 \Gamma, x : A \\
 \vdots \\
 p : D \\
 \text{and} \\
 \Theta, y : B \\
 \vdots \\
 q : D
 \end{array}$$

$$\begin{array}{c}
 \Delta, [x : C_1 \supset \dots \supset C_n \supset (A \vee B)] \quad \Gamma, [y : A] \quad \Theta, [z : B] \\
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 M(x) : A \vee B \quad r : D \quad s : D \\
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 \text{case}(M(x), y.r, z.t) : D \quad \vee E, y, z \\
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 e(\text{case}(M(x), y.r, z.t)) : F \\
 \vdots \\
 E(e(\text{case}(M(x), y.r, z.t))) : C
 \end{array}$$

E - rule

$$\begin{array}{c}
 \Delta, [x : C_1 \supset \dots \supset C_n \supset (A \vee B)] \\
 M(x) : A \vee B \\
 \vdots \\
 e(\text{case}(M(x), y.r, z.t)) : F \\
 \vdots \\
 E(e(\text{case}(M(x), y.r, z.t))) : C \\
 \text{and}
 \end{array}$$

Main Lemma

- Previous GPR conditions, captured diagrammatically, translate algebraically into the rules that describe the set of strongly normalizing terms: SN .

$$\begin{array}{c}
 \frac{r \in SN}{\text{inl } r \in SN} (SN_{\text{inl}}) \\
 \frac{s \in SN}{\text{inrs } s \in SN} (SN_{\text{inr}}) \\
 \frac{M(x) \in SN \quad s \in SN \quad t \in SN}{\text{case}(M(x), y.s, z.t) \in SN} (SN_{M_{\text{case}}}) \\
 \frac{r \in SN}{\lambda x.r \in SN} (SN_{\lambda}) \\
 \frac{M(x) \in SN \quad s \in SN}{M(x)s \in SN} (SN_{Mmp}) \\
 \frac{E(p[x := r]) \in SN \quad E(p) \in SN \quad r \in SN}{E(\text{case}(\text{inl } r, x.p, y.q)) \in SN} (SN_{\beta_{vl}}) \\
 \frac{E(q[y := s]) \in SN \quad E(q) \in SN \quad s \in SN}{E(\text{case}(\text{inrs } x.p, y.q)) \in SN} (SN_{\beta_{vr}}) \\
 \frac{E(r[x := s]) \in SN \quad s \in SN}{E((\lambda x.r)s) \in SN} (SN_{\beta_{\supset}}) \\
 \frac{}{x \in SN} (SN_{\text{Var}}) \\
 \frac{E(\text{case}(M(x), y.e(r), z.e(s))) \in SN}{E(e(\text{case}(M(x), y.r, z.s))) \in SN} (SN_{\pi})
 \end{array}$$

Main Lemma

SN is closed under modus ponens, case analysis and substitution.

Main Lemma: Proof

Main Lemma in its Original Version (Romantic):

If $r, s, t \in SN$ then $rs \in SN$, $\text{case}(r, x.s, y.t) \in SN$, and $r[x := s] \in SN$. NB: All terms are assumed to be typable.

Main Lemma in its Cool Version:

$$\begin{aligned} & \forall A \forall r (r \in SN \Rightarrow (\forall \Delta \forall B (\Delta \vdash r : A \supset B \Rightarrow \forall s (\Gamma \vdash s : A \Rightarrow s \in SN \Rightarrow rs \in SN)))) \\ & \wedge \forall B \forall C \forall \Gamma (A = B \vee C \Rightarrow \Gamma \vdash r : A \Rightarrow \forall D \forall \Delta \forall x \forall s (\Delta, x : B \vdash s : D \Rightarrow s \in SN \Rightarrow \\ & \forall \Sigma \forall y \forall t (\Sigma, y : C \vdash t : D \Rightarrow t \in SN \Rightarrow \text{case}(r, x.s, y.t) \in SN)) \\ & \wedge \forall s (\Gamma \vdash s : A \Rightarrow s \in SN \Rightarrow \forall \Delta \forall c \forall x (\Delta, x : A \vdash r : C \Rightarrow r[x := s] \in SN)) \end{aligned}$$

- **Proof:** By simultaneous induction on the formula A and $r \in SN$. ■

Moral: Mechanizing a mathematical proof is a challenging task.

$ND-\lambda^{\supset, \vee}$ strongly normalizes

Theorem

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- Proof: By induction on the derivability relation $\Gamma \vdash t : A$

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 - Inductive step: $t = rs$. Immediate from the I.H. ($r, s \in SN$) and the main lemma.

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Theorem

If $\Gamma \vdash t : A$ then $t \in SN$

- Proof: By induction on the derivability relation $\Gamma \vdash t : A$
 - Basis: $t = x$, immediate since $x \in SN$ by rule (SN_{Var}).
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 - Inductive step : $t = \text{inl}r$, immediate from the I.H ($r \in SN$) and rule (SN_{inl}).
 - Inductive step : $t = \text{inr}s$, immediate from the I.H ($s \in SN$) and rule (SN_{inr}).
 - Inductive step: $t = rs$. Immediate from the I.H. ($r, s \in SN$) and the main lemma.
 - Inductive step: $t = \text{case}(r, x.p, y.q)$. Immediate from the I.H. ($r, p, q \in SN$), and the main lemma, which guarantees that SN is closed under modus ponens, case analysis and substitution. ■

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- Our proposal addresses a gap between proof theory and the lambda calculus concerning how normalization results have been approached through the Curry-Howard correspondence without tipping the balance towards either side of the algebra/geometry dichotomy.

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- This reconciliation involves adapting the formal rules of the lambda calculus, which is amenable to mechanization, to the act of proving in natural deduction by supplementing with diagrammatic constructions that guide our intuition in areas that are too abstract for our imagination.
- Our proposal addresses a gap between proof theory and the lambda calculus concerning how normalization results have been approached through the Curry-Howard correspondence without tipping the balance towards either side of the algebra/geometry dichotomy.
- Furthermore, it opens a new avenue in the formalization and mechanization of proofs by contrasting and reconciling two formally equivalent systems but epistemologically very diverse.

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¡Muchas gracias por su atención!